

7-30-01 A

PTO/SB/05 (11-00)

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# UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

## APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

- Fee Transmittal Form (e.g., PTO/SB/17)  
(Submit an original and a duplicate for fee processing)
- Applicant claims small entity status.
- Specification [Total Pages 16]  
(Preferred arrangement set forth below)
  - Descriptive title of the invention
  - Cross Reference to Related Applications
  - Statement Regarding Fed sponsored R & D
  - Reference to sequence listing, a table, or a computer program listing appendix
  - Background of the Invention
  - Brief Summary of the Invention
  - Brief Description of the Drawings (if filed)
  - Detailed Description
  - Claim(s)
  - Abstract of the Disclosure
- Drawing(s) (35 U.S.C. 113) [ Total Sheets 3 ]
- Oath or Declaration [ Total Pages   ]
- a.  Newly executed (original or copy)  
Copy from a prior application (37 CFR 1.63 (d))  
(for continuation/divisional with Box 18 completed)
- b.  DELETION OF INVENTOR(S)  
Signed statement attached deleting inventor(s)  
named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b)
- Application Data Sheet, See 37 CFR 1.76

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

 Continuation    Divisional    Continuation-in-part (CIP)

of prior application No. \_\_\_\_\_

Prior application information:

Examiner \_\_\_\_\_

Group Art Unit \_\_\_\_\_

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

## 19. CORRESPONDENCE ADDRESS

|  |   |           |              |  |              |
|--|---|-----------|--------------|--|--------------|
| <input type="checkbox"/> Customer Number or Bar Code Label | (Insert Customer No. or Attach bar code label here) |           |              | <input type="checkbox"/> or <input checked="" type="checkbox"/> Correspondence address below |              |
| Name   | Richard D. Getz                                     |           |              |  |              |
| Address  | McCormick, Paulding & Huber LLP                     |           |              |  |              |
| City   | Hartford  | State     | CT           | Zip Code   | 06103-3402   |
| Country  | USA   | Telephone | 860.549.5290 | Fax  | 860.527.0464 |

|                   |                        |                                   |        |               |
|-------------------|------------------------|-----------------------------------|--------|---------------|
| Name (Print/Type) | RICHARD D. GETZ        | Registration No. (Attorney/Agent) | 36,147 |               |
| Signature         | <i>Richard D. Getz</i> |                                   | Date   | JULY 27, 2001 |

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

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# FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT

(\$ 790.00)

## Complete if Known

|                      |                     |
|----------------------|---------------------|
| Application Number   | NEW                 |
| Filing Date          | HEREWITH            |
| First Named Inventor | EUGEN MULLER, ET AL |
| Examiner Name        | NOT YET KNOWN       |
| Group Art Unit       | NOT YET KNOWN       |
| Attorney Docket No.  | 2821-213            |

## METHOD OF PAYMENT

1.  The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to

|                        |         |
|------------------------|---------|
| Deposit Account Number | 13-0235 |
| Deposit Account Name   |         |

Charge Any Additional Fee Required  
Under 37 CFR 1.16 and 1.17

Applicant claims small entity status  
See 37 CFR 1.27

2.  Payment Enclosed:

Check  Credit card  Money Order  Other

## FEE CALCULATION (continued)

## 1. BASIC FILING FEE

|               |               |
|---------------|---------------|
| Large Entity  | Small Entity  |
| Fee Code (\$) | Fee Code (\$) |
| Fee Code (\$) | Fee Code (\$) |

|                                 |     |     |     |                        |          |
|---------------------------------|-----|-----|-----|------------------------|----------|
| 101                             | 710 | 201 | 355 | Utility filing fee     | Fee Paid |
| 106                             | 320 | 206 | 160 | Design filing fee      |          |
| 107                             | 490 | 207 | 245 | Plant filing fee       |          |
| 108                             | 710 | 208 | 355 | Reseue filing fee      |          |
| 114                             | 150 | 214 | 75  | Provisional filing fee |          |
| <b>SUBTOTAL (1)</b> (\$ 710.00) |     |     |     |                        |          |

## 2. EXTRA CLAIM FEES

|                    |    |         |   |   |                |          |
|--------------------|----|---------|---|---|----------------|----------|
| Total Claims       | 20 | -20* =  | X | = | Fee from below | Fee Paid |
| Independent Claims | 4  | - 3** = | 1 | X | 80.00          | 80.00    |
| Multiple Dependent |    |         |   |   |                |          |

|               |               |
|---------------|---------------|
| Large Entity  | Small Entity  |
| Fee Code (\$) | Fee Code (\$) |
| Fee Code (\$) | Fee Code (\$) |

103 18 203 9 Claims in excess of 20

102 60 202 40 Independent claims in excess of 3

104 270 204 135 Multiple dependent claim, if not paid

109 80 209 40 \*\* Reissue independent claims over original patent

110 18 210 9 \*\* Reissue claims in excess of 20 and over original patent

**SUBTOTAL (2)** (\$ 80.00)

## 3. ADDITIONAL FEES

| Large Entity        | Small Entity | Fee Code (\$) | Fee Code (\$) | Fee Description  | Fee Paid |
|---------------------|--------------|---------------|---------------|--|----------|
| 105                 | 130          | 205           | 65            | Surcharge - late filing fee or oath  |          |
| 127                 | 50           | 227           | 25            | Surcharge - late provisional filing fee or cover sheet                     |          |
| 139                 | 130          | 130           | 130           | Non-English specification  |          |
| 147                 | 2,520        | 147           | 2,520         | For filing a request for <i>ex parte</i> reexamination                     |          |
| 112                 | 920*         | 112           | 920*          | Requesting publication of SIR prior to Examiner action                     |          |
| 113                 | 1,840*       | 113           | 1,840*        | Requesting publication of SIR after Examiner action                        |          |
| 115                 | 110          | 215           | 55            | Extension for reply within first month                                     |          |
| 116                 | 390          | 216           | 195           | Extension for reply within second month                                    |          |
| 117                 | 890          | 217           | 445           | Extension for reply within third month                                     |          |
| 118                 | 1,390        | 218           | 695           | Extension for reply within fourth month                                    |          |
| 128                 | 1,890        | 228           | 945           | Extension for reply within fifth month                                     |          |
| 119                 | 310          | 219           | 155           | Notice of Appeal   |          |
| 120                 | 310          | 220           | 155           | Filing a brief in support of an appeal                                     |          |
| 121                 | 270          | 221           | 135           | Request for oral hearing   |          |
| 138                 | 1,510        | 138           | 1,510         | Petition to institute a public use proceeding                              |          |
| 140                 | 110          | 240           | 55            | Petition to revive - unavoidable   |          |
| 141                 | 1,240        | 241           | 620           | Petition to revive - unintentional   |          |
| 142                 | 1,240        | 242           | 620           | Utility issue fee (or resuee)  |          |
| 143                 | 440          | 243           | 220           | Design issue fee   |          |
| 144                 | 600          | 244           | 300           | Plant issue fee  |          |
| 122                 | 130          | 122           | 130           | Petitions to the Commissioner  |          |
| 123                 | 50           | 123           | 50            | Petitions related to provisional applications                              |          |
| 126                 | 240          | 126           | 240           | Submission of Information Disclosure Stmt                                  |          |
| 581                 | 40           | 581           | 40            | Recording each patent assignment per property (times number of properties) |          |
| 146                 | 710          | 246           | 355           | Filing a submission after final rejection (37 CFR § 1.129(a))              |          |
| 149                 | 710          | 249           | 355           | For each additional invention to be examined (37 CFR § 1.129(b))           |          |
| 179                 | 710          | 279           | 355           | Request for Continued Examination (RCE)                                    |          |
| 169                 | 900          | 169           | 900           | Request for expedited examination of a design application                  |          |
| Other fee (specify) |              |               |               |  |          |

\*or number previously paid, if greater; For Reissues, see above

**SUBTOTAL (3)** (\$ 0.00)

Complete if applicable

## SUBMITTED BY

Name (Print/Type) RICHARD D. GETZ, ESQ.

Registration No.

(Attorney/Agent)

36,147

Signature

Richard D. Getz

Telephone 860 549 5290

Date JULY 27, 2001

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